

Practitioner's Docket No.: 892_019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira WAKABAYASHI

Ser. No.: 09/908,945

Group Art Unit: 3641

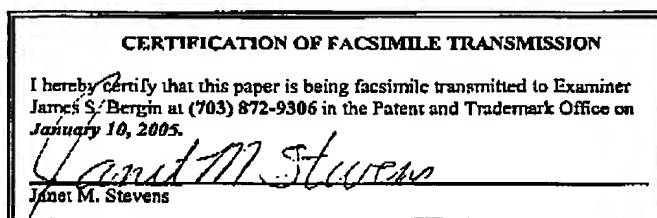
Filed: July 19, 2001

Examiner: James S. Bergin

Confirmation No.: 1922

For: ONLINE TRADING SYSTEM

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



**REQUEST FOR CONTINUED EXAMINATION
(37 CFR §1.114)**

1. Applicant hereby requests continued examination, in accordance with 37 CFR §1.114, for the above identified application.

2. This request is being submitted:
 - i. ☒ Prior to abandonment of the application

 - ii. ☐ Payment of the issue fee
 - ☐ Prior to payment of issue fee
 - ☐ Issue fee has been paid but a petition under §1.313 was filed _____ (copy attached).

 - iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals & Interferences
 - ☐ A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.

 - iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145 or
 - ☐ Commencement of a civil action under 35 U.S.C. 146
 - ☐ Prior to the filing of such appeal or commencement of civil action
 - ☐ Such appeal or commencement of civil action has been terminated.

3. Please Enter and Consider the following *Previously Submitted Documents*:

- ☐ Amendment filed _____.
- ☐ Brief on Appeal filed _____.
- ☐ Other:

4. Enclosed herewith is/are:

- ☐ An Information Disclosure Statement and Form PTO-1449
- ☒ An Amendment
- ☐ New arguments
- ☐ New evidence in support of patentability
- ☐ Other:

5. The filing fee has been calculated as shown below:

RCE Filing Fee (37 CFR §1.17(e))						\$ 790.00
Claims	Claims Remaining After Amendment		Highest Number Previously Paid	No. of Extra Claims Present		
Total Claims	7	Minu	20	0	\$ 50.00	
Independent Claims	1	Minu	3	0	\$ 200.00	
Multiple Dependent Claim(s) (if applicable)						\$ 360.00
<u>Extension of Term</u>						
a. <input type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.						
b. <input checked="" type="checkbox"/> Applicant petitions for an extension of time, the fees for which are set out in 37 CFR §1.17(a)(1)-(4), for the total number of months checked below:						
<input type="checkbox"/> One Month (37 CFR 1.17(a)(1))						\$ 120.00
<input checked="" type="checkbox"/> Two Months (37 CFR 1.17(a)(2))						\$ 450.00
<input type="checkbox"/> Three Months (37 CFR 1.17(a)(3))						\$1,020.00
If an additional extension of time is required, please consider this a petition therefor.						
<input checked="" type="checkbox"/> An extension for <u>one</u> month has already been secured, and the fee paid therefor of <u>\$110.00</u> is deducted from the total fee due for the total months of extension now requested.						-(\$110.00)
TOTAL OF ABOVE CALCULATIONS =						\$1,130.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR §1.27.						
TOTAL FEES DUE =						\$1,130.00

6. Payment of Fees

☐ A check in the amount of \$_____ is enclosed.

☒ Charge Deposit Account 50-1446 in the amount of \$1,130.00.

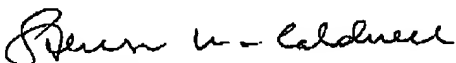
7. Authorization to Charge Additional Fees or Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446 (a duplicate copy of this sheet is enclosed):

☒ Any additional fees required under 37 CFR §1.17(e), §1.16(b)-(d) and/or §1.17(a)(1)-(4).

Respectfully submitted,

January 10, 2005
Date


Steven W. Caldwell
Reg. No. 51,525

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CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to Examiner James S. Bergin at (703) 872-9306 in the Patent and Trademark Office on January 10, 2005.

Janet M. Stevens
Janet M. Stevens

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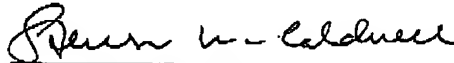
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